

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6

RADEPatent Application

Applicant(s): Genady Grabarnik et al.

Docket No.:

YOR920010748US1

Serial No.:

09/976,543

Filing Date:

October 12, 2001

Group:

2151

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Examiner:

Unassigned

Title:

Systems and Methods for Validation, Completion and

Construction of Event Relationship Networks

# RESPONSE TO NOTICE OF INCOMPLETE REPLY

RECEIVED

MAR 2 7 2002

Technology Center 2100

Sir:

B

In response to a Notice of Incomplete Reply (Nonprovisional) dated February 15, 2002 requesting formal drawings, Applicants respectfully point out that formal drawings were filed on January 10, 2002 with the Transmittal of Missing Parts. In fact, Applicants received a return postcard stamped with the date of receipt of the formal drawings by the USPTO. Accordingly, the Transmittal of Missing Parts dated January 10, 2002, Transmittal of Formal Drawings, 7 sheets of formal drawings, and a postcard with stamped confirmation from the USPTO acknowledging receipt of the above-referenced documents are enclosed.

We would appreciate it if you would record receipt by the USPTO of the formal drawings as of January 10, 2002. Please call the undersigned attorney at (516) 759-2946 to confirm that this has been done. Please let us know if you require any additional information.

It is believed that there is no fee due in conjunction with the filing of this Response to Notice of Incomplete Reply. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit International Business Machines Corporation Deposit Account No. 50-0510 as required to correct the error.

Date: February 22, 2002

William E. Lewis

Attorney for Applicant(s)

Respectfully submitted,

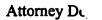
Reg. No. 39,274

Ryan, Mason & Lewis, LLP

90 Forest Avenue

Locust Valley, NY 11560

(516) 759-2946



"Express Mail" Label No. EV033867456US I hereby certify that this paper or fee is being deposited with

Patents, Washington, D.C. 20231.

Date of Deposit: January 10, 2002

the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for

Attorney De at No. YOR920010748US1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# EV033867456US

Patent Application

Applicant(s): Genady Grabarnik et al.

Docket No.:

YOR920010748US1

Serial No.:

09/976,543

Filing Date:

October 12, 2001

Group:

2151

Examiner:

Unassigned

Signatur

Systems and Methods for Validation, Completion and

Construction of Event Relationship Networks



### TRANSMITTAL OF MISSING PARTS

**Assistant Commissioner for Patents** Washington, D.C. 20231 **Box Missing Parts** 

RECEIVED

MAR 2 7 2002

Technology Center 2100

Sir:

In response to the Notice to File Missing Parts dated November 14, 2001, Applicants submit herewith the following documents to complete the above-referenced patent application:

- (1) Declaration and Power of Attorney;
- (2) Assignment with Recordation Form Cover Sheet;
- (3) Transmittal of Formal Drawings; and
- (4) Formal Drawings (7 sheets).

A copy of the Notice is enclosed herewith. Please charge International Business Machines Corporation Deposit Account No. 50-0510 the amount of \$130, to cover the surcharge under 37 CFR §1.16(e). In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit International Business Machines Corporation Deposit Account No. 50-0510 as required to correct the error. A duplicate copy of this letter is enclosed.

Date: January 10, 2002

William E. Lewis

Respectfully submitted,

Reg. No. 39,274

Attorney for Applicant(s) Ryan, Mason & Lewis, LLP

90 Forest Avenue

Locust Valley, New York 11560

(516) 759-2946



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Patents, Washington, D.C. 20231.

Date of Deposit: January 10, 2002

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Attorney Docket No. YOR920010748US1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Signature:

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Applicant(s): Genady Grabarnik et al.

Docket No.:

YOR920010748US1

Serial No.:

09/976,543

Filing Date:

October 12, 2001

Group:

2151

Examiner:

Unassigned

Title:

Systems and Methods for Validation, Completion and

Construction of Event Relationship Networks

TRANSMITTAL OF FORMAL DRAWINGS

RECEIVED

MAR 2 7 2002

**Assistant Commissioner for Patents** Washington, D.C. 20231

**Technology Center 2100** 

Attention: Official Draftsperson

Sir:

In response to the Notice to File Missing Parts dated November 14, 2001, Applicants submit herewith seven (7) sheets of formal drawings to complete the above-referenced patent application.

A copy of the Notice is enclosed herewith. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit International Business Machines Corporation Deposit Account No. 50-0510 as required to correct the error.

Date: January 10, 2002

William E. Lewis

Respectfully submitted,

Reg. No. 39,274

Attorney for Applicant(s) Ryan, Mason & Lewis, LLP

90 Forest Avenue

Locust Valley, New York 11560

(516) 759-2946







# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/976,543

10/12/2001

Genady Grabarnik

YOR920010748US1

Ryan, Mason & Lewis, LLP 90 Forest Avenue Locust Valley, NY 11560

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MAR 2 7 2002

**Technology Center 2100** 

CONFIRMATION NO. 1486 FORMALITIES LETTER

Date Mailed: 02/15/2002

# NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

#### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 01/10/2002 to the Notice to File Missing Parts (Notice) mailed 11/14/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPER ORIGINALLY FILED





Receipt in the USPTO is hereby acknowledged of:

Transmittal of Missing Parts - (Orig. & 1 copy)
Assignment - 2 pages
Assignment Recordation Form Cover Sheet - (Orig. & 1 copy)
Declaration and Power of Attorney - 2 pages
Transmittal of Formal Drawings - 1 page
Formal Drawings - 7 sheets
Copy of Notice to File Missing Parts

January 10, 2002 YOR920010748US1 Serial No. 09/976,543 1500-283 RECEIVED

MAR 2:7 2002

Technology Center 2100